

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

030901

Total Pages

First Named Inventor or Application Identifier

Hidemasa KAI

Express Mail Label No.

Check Box, if applicable [] Duplicate

03807 J.S. PTO
10/626675

07/25/03

APPLICATION ELEMENTS FOR:

***EPITAXIAL WAFER PRODUCTION APPARATUS AND
SUSCEPTOR STRUCTURE***

ADDRESS TO: Commissioner for Patents
MAIL STOP PATENT APPLICATION
P. O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [31]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [17]
4. ☒ Oath or Declaration Total Pages [2]
 - a. ☒ Newly executed (original)
 - b. ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document) to: **KOMATSU DENSHI KINZOKU KABUSHIKI KAISHA**, Hiratsuka-shi, Japan
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No. 030901

First Named Inventor or Application Identifier

Hidemasa KAI

PAGE 2 OF 3

03807 U.S. PTO
10/626675
07/25/03

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (PTO-1449 w/1 ref.)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)
- a. Priority of Japanese application No. 2002-220027 filed on July 29, 2002 is claimed under 35 USC 119.
16. ☐ Other _____
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$750.00
Total Claims	7 - 20		x \$18.00	
Independent Claims	5 - 3	2	x \$84.00	168.00
Multiple Dependent Claims			\$280.00	
Basic Filing Fee				918.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	40.00
TOTAL				\$958.00

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

030901

First Named Inventor or Application Identifier

Hidemasa KAI

PAGE 3 OF 3

☒ [XX] A check in the amount of \$ 958.00 is enclosed to cover the filing fee. (\$750.00 for basic filing fee; \$168.00 for 2 additional independent claims and \$40.00 for the assignment recordation fee).

☐ [] Please charge our Deposit Account No. **01-2340** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name **Mel R. Quintos**

Reg. No. 31,898

Signature *Mel R. Quintos*

Date: July 25, 2003

MRQ/yap

ARMSTRONG, WESTERMAN & HATTORI, LLP
Suite 1000, 1725 K Street, N.W.
Washington, D. C. 20006
Tel: (202) 659-2930
Fax: (202) 887-0357